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U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 6/30/2005)

PATENTS ONLY

SA4990

Tab settings   

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

CROMPTON INDUSTRIAL SPECIALTIES, INC.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐

Assignment

☐

Merger

☐

Security Agreement

☒

Change of Name

☐

Other

7/1/02

Execution Date:

2. Name and address of receiving party(ies)

Name: Akzo Nobel Industrial Specialties Inc.

Internal Address:

Street Address: One American Lane

City: Greenwich State: CT Zip: 06831

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/363489

09/342449; 09/812921; 10/082,295

B. Patent No.(s) 4429465; 5049311;

5919521; 5746909; 4562214; 4569947;

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ralph J. Mancini

Internal Address: Akzo Nobel Inc.

Intellectual Property Department

Street Address: 7 Livingstone Avenue

City: Dobbs Ferry State: NY Zip: 10522-3408

6. Total number of applications and patents involved: 26

7. Total fee (37 CFR 3.41).....\$ 1040.00

☐

Enclosed

☒

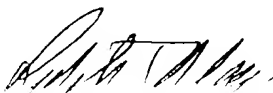
Authorized to be charged to deposit account

8. Deposit account number:

01-1350

DO NOT USE THIS SPACE

9. Signature.



PAGE TWO OF TWO

PATENT APPLICATIONS:

09/987,047
10/070,282
09/652,146
09/595,996
09/393,642
09/652,146
PCT/US02/07239
PCT/US01/17571
PCT/US00/24142

PATENT NO(s):

5,919,975
6,133,474
6,248,699
5,840,210
4,690,785
4,717,555
4,689,251

DEED OF ASSIGNMENT OF PATENTS

This ASSIGNMENT ("Agreement"), dated as of May 1, 2002 (the "Effective Date") is from **CROMPTON CORPORATION**, a corporation organized under the laws of the State of Delaware, United States of America, having a principal place of business at One American Lane, Greenwich, Connecticut 06831, United States of America ("Assignor") to **CROMPTON INDUSTRIAL SPECIALTIES, INC.**, a corporation organized under the laws of the State of Delaware, United States of America, having a principal place of business at One American Lane, Greenwich, CT 06831, United States of America ("Assignee").

WITNESSETH:

WHEREAS, Assignor is the owner of all right, title and interest in and to the U.S. patents and U.S. patent applications described on Schedule A (the "Patents") attached hereto.

1. Assignment. In consideration for the sum of US\$1.00 paid by the Assignee to the Assignor (of which it acknowledges sufficiency and receipt thereof) Assignor hereby sells, assigns and transfers unto Assignee, its successors, heirs and assigns all right, title and interest in and to the Patents, including without limitation, the right to any national phase entry applications claiming priority thereof, the right to prosecute any applications therefor, the right to have any national phase entry applications claiming priority thereof issue in the name of the Assignee, and the right to sue for past and future infringement. Such assignment is made in connection with a certain Contribution Agreement, dated as of May 1, 2002, by and among the Assignee and Assignor.
2. Further Assurances. Assignor will execute any and all additional documents that may be reasonably necessary in the opinion of counsel for Assignee to perfect the transfer of rights set forth herein.
3. Binding Provisions. This Agreement is binding upon, and inures to the benefit of, the parties hereto and their respective heirs, executors, legal representatives, successors, and permitted assigns.
4. Governing Law. All questions concerning the construction, validity and interpretation of this Agreement and the performance of the obligation imposed by this Agreement shall be governed by the internal law, not the law of conflict of laws.

(This page is the signature page)

IN WITNESS WHEREOF, the parties hereto have executed and delivered this Deed of Assignment of Patents as of the Effective Date.

CROMPTON CORPORATION

By: [Signature]
Name: John T. Ferguson II
Title: Senior Vice President and General Counsel

CROMPTON INDUSTRIAL SPECIALTIES, INC.

By: [Signature]
Name: John T. Ferguson II
Title: President

STATE OF New York)
COUNTY OF New York) :ss

On this 27 of June, 2002, before me, a Notary Public in and for the abovementioned county, personally came John T. Ferguson II, known to me to be the individual described in and who executed the foregoing instrument, and who being by me duly sworn did depose and say that he is Senior Vice President and General Counsel of CROMPTON CORPORATION, the company described in and on whose behalf was executed the foregoing instrument; that he knows the corporate seal of the said company; that the seal affixed to said instrument is such corporate seal and has been properly affixed in accordance with the Certificate of Incorporation, bylaws and regulations of said company; and that he signed his name thereto on behalf of the board of directors of said company as the free deed and act of said company.

LEE PELLEGRINO
Notary Public, State of New York
No. 01PE5037856

[Signature]

SCHEDULE A PATENTS AND PATENT APPLICATIONS INDUSTRIAL SPECIALTIES

Case No.	Cty.	Status	Appl No.	Pat No.	Exp Date	Title
00551S-US	US	Pending	09/812921			MONO-ALKYLATION PROCESS FOR THE PREPARATION OF ANIONIC SURFACTANTS
00551S-WO	WO	Pending	US02/06343			MONO-ALKYLATION PROCESS FOR THE PREPARATION OF ANIONIC SURFACTANTS
00721S-AR	AR	Pending	P020101148			AMINE AND QUATERNARY AMMONIUM SALT DERIVATIVES OF GLYCIDYL ETHERS AND GLYCIDYL ESTERS
00721S-MY	MY	Pending	PI20021207			AMINE AND QUATERNARY AMMONIUM SALT DERIVATIVES OF GLYCIDYL ETHERS AND GLYCIDYL ESTERS
00721S-US	US	Pending	10/082295			AMINE AND QUATERNARY AMMONIUM SALT DERIVATIVES OF GLYCIDYL ETHERS AND GLYCIDYL ESTERS
00721S-VE	VE	Pending	2002-000592			AMINE AND QUATERNARY AMMONIUM SALT DERIVATIVES OF GLYCIDYL ETHERS AND GLYCIDYL ESTERS
00721S-WO	WO	Pending	US02/07239			AMINE AND QUATERNARY AMMONIUM SALT DERIVATIVES OF GLYCIDYL ETHERS AND GLYCIDYL ESTERS
00821S-US	US	Pending	09/987047			EMULSIFIER FOR OIL-BASED DRILLING FLUIDS
11021SHEUS	US	Granted	670637	4569947	13-Nov-2004	BETA BRANCHED ALCOHOL MOSQUITO CONTRA AGENTS

Case No.	Cty.	Status	Appl No.	Pat No.	Exp Date	Title
1304US	US	Granted	08/223710	5429465	04-Apr-2014	RETAINER STRUCTURE FOR PREVENTING RELATIVE ROTATION BETWEEN FASTENER ELEMENTS.
1337/SHECA	CA	Pending	2228430			NOVEL AROMATIC AND ALIPHATIC SULFONATES AND PROPERTIES AND APPLICATIONS THEREOF
1337/SHEEP	EP	Pending	9729692.8			NOVEL AROMATIC AND ALIPHATIC SULFONATES AND PROPERTIES AND APPLICATIONS THEREOF
1337/SHEJP	JP	Pending	542794/1997			NOVEL AROMATIC AND ALIPHATIC SULFONATES AND PROPERTIES AND APPLICATIONS THEREOF
1337/SHEUS	US	Granted	08/655992	5919975	31-May-2016	NOVEL AROMATIC AND ALIPHATIC SULFONATES AND PROPERTIES AND APPLICATIONS THEREOF
1337US	US	Granted	09/393475	6133474	23-Dec-2017	NOVEL AROMATIC AND ALIPHATIC SULFONATES AND PROPERTIES AND APPLICATIONS THEREOF
1340/SHEAU	AU	Granted	12590/97	723745	07-Feb-2017	DRY FOAMABLE COMPOSITION AND USE THEREOF
1340SHEUS1	US	Granted	08/598275	5840210	08-Feb-2016	DRY FOAMABLE COMPOSITION AND USE THEREOF
1340SHEUS2	US	Granted	09/040790	5919521	08-Feb-2016	DRY FOAMABLE COMPOSITION AND USE THEREOF
1341CA	CA	Pending	2220405			COLD WATER TAR EXTRACTION PROCESS
1341US	US	Granted	08/744764	5746909	06-Nov-2016	COLD WATER TAR EXTRACTION PROCESS
D5770CA	CA	Pending	527978			WATER SOLUBLE ANTICAKING AND DE-DUSTING AGENT
D5780CA	CA	Pending	527979			ANTICAKING AND DE-DUSTING COMPOSITION FOR AMMONIUM NITRATE.
PER0019US	US	Granted	09/363489	6248699	29-Jul-2019	GELLING SYSTEM FOR HYDROCARBON FLUIDS
PER0019WO	CA	Pending				GELLING SYSTEM FOR HYDROCARBON FLUIDS
PER0020-EP	EP	Pending	00959792.3			QUATERNARY AMMONIUM SALTS AS THICKENING AGENTS FOR AQUEOUS SYSTEMS

Case No.	Cty.	Status	Appl No.	Pat No.	Exp Date	Title
PER0020WO	US	Pending	10/070282			QUATERNARY AMMONIUM SALTS AS THICKENING AGENTS FOR AQUEOUS SYSTEMS
PER0020WO	CA	Pending	US00/24142			QUATERNARY AMMONIUM SALTS AS THICKENING AGENTS FOR AQUEOUS SYSTEMS
PER0021US	US	Pending	09/342449			OXIDIZED ALPHA-OLEFIN SULFONIC ACIDS AND DERIVATIVES THEREOF AND COMPOSITIONS CONTAINING THEM
PER0025-AR	AR	Pending	P010102847			SURFACTANT BLENDS FOR AQUEOUS SOLUTIONS USEFUL FOR IMPROVING OIL RECOVERY
PER0025-VE	VE	Pending	2001-001355			SURFACTANT BLENDS FOR AQUEOUS SOLUTIONS USEFUL FOR IMPROVING OIL RECOVERY
PER0025-WO	WO	Pending	US01/17571			SURFACTANT BLENDS FOR AQUEOUS SOLUTIONS USEFUL FOR IMPROVING OIL RECOVERY
PER0025US	US	Pending	09/595996			SURFACTANT BLENDS FOR AQUEOUS SOLUTIONS USEFUL FOR IMPROVING OIL RECOVERY
PER0027-AR *	AR	Pending	P000104738			STABLE CONCENTRATED PESTICIDAL SUSPENSIONS
PER0027-CL *	CL	Pending	2463-00			STABLE CONCENTRATED PESTICIDAL SUSPENSIONS
PER0027-CO *	CO	Pending	67893			STABLE CONCENTRATED PESTICIDAL SUSPENSIONS
PER0027-EP *	EP	Pending	00982598.5			STABLE CONCENTRATED PESTICIDAL SUSPENSIONS
PER0027-TH *	TH	Pending	060320			STABLE CONCENTRATED PESTICIDAL SUSPENSIONS
PER0027-TW *	TW	Pending	89118457			STABLE CONCENTRATED PESTICIDAL SUSPENSIONS
PER0027-VE *	VE	Pending	2000-002001			STABLE CONCENTRATED PESTICIDAL SUSPENSIONS
PER0027US *	US	Pending	09/393642			STABLE CONCENTRATED PESTICIDAL SUSPENSIONS
PER0027US1 *	US	Pending	09/652146			STABLE CONCENTRATED PESTICIDAL SUSPENSIONS

* Joint Applicant with Monsanto Company

Case No.	Cty.	Status	Appl No.	Pat No.	Exp Date	Title
PER0027WO *	BR	Pending	P10013919-0			STABLE CONCENTRATED PESTICIDAL SUSPENS
PER0027WO *	NZ	Pending	517273			STABLE CONCENTRATED PESTICIDAL SUSPENS
PER0027WO *	MX	Pending				STABLE CONCENTRATED PESTICIDAL SUSPENS
PER0027WO *	CN	Pending				STABLE CONCENTRATED PESTICIDAL SUSPENS
PER0027WO *	CA	Pending				STABLE CONCENTRATED PESTICIDAL SUSPENS
W-327US	US	Granted	229238	4562214	31-Dec-2002	PERSONAL CARE EMULSION
W-393US	US	Granted	882290	4690785	01-Sep-2004	LOW WATER NEUTRALIZATION TO PRODUCE A HIGHLY ACTIVE ALKARYL SULFONATE
W-432USR	US	Granted	626382	5049311	17-Sep-2008	ALKOXYLATED ALKYL SUBSTITUTED PHENOL SULFONATES COMPOUNDS AND COMPOSITION THE PREPA-RATION THEREOF AND THEIR USE I
W-494US	US	Granted	823520	4717555	29-Jan-2006	ANTICAKING AND ANTIDUSTING COMPOSITION FOR AMMONIUM NITRATE
W-619US	US	Granted	823728	4689251	29-Jan-2006	ANTICAKING AND ANTIDUSTING COMPOSITION

* Joint Applicant with Monsanto Company

Delaware

PAGE 1

The First State

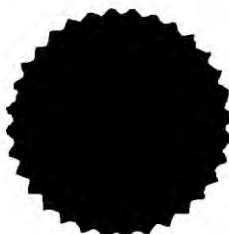
I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "CROMPTON INDUSTRIAL SPECIALTIES, INC.", CHANGING ITS NAME FROM "CROMPTON INDUSTRIAL SPECIALTIES, INC." TO "AXZO NOBEL INDUSTRIAL SPECIALTIES INC.", FILED IN THIS OFFICE ON THE FIRST DAY OF JULY, A.D. 2002, AT 1 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

RECEIVED

MAR 26 2003

DEPT. OF PETITIONS



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

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020425813

AUTHENTICATION: 1863332

JUL-01-2002 12:33

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P.02/0

CERTIFICATE OF AMENDMENT

OF

CERTIFICATE OF INCORPORATION

Crompton Industrial Specialties, Inc. is a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware.

DOES HEREBY CERTIFY:

FIRST: That the Board of Directors of Crompton Industrial Specialties, Inc. by the unanimous written consent of its members, filed with the minutes of the board, adopted a resolution proposing and declaring advisable the following amendment to the "Certificate of Incorporation of said corporation":

RESOLVED, That the Certificate of Incorporation of Crompton Industrial Specialties, Inc. be amended by changing the First Article thereof so that, as amended said Article shall be and read as follows:

"The name of the Corporation is
Arco Nobel Industrial Specialties Inc."

SECOND: That in lieu of a meeting and vote of stockholders, the stockholders have given unanimous written consent to said amendment in accordance with the provisions of Section 228 of the General Corporation Law of the State of Delaware.

THIRD: That the aforesaid amendment was duly adopted in accordance with the applicable provisions of Section 242 and 228 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, said Crompton Industrial Specialties, Inc. has caused this certificate to be signed by Patrick J. O'Connell, its Secretary, this 1st Day of July 2002.

By Patrick J. O'Connell
Patrick J. O'Connell, Secretary

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 01:00 PM 07/01/2002
020425813 - 3318436

TOTAL P.02

CROMPTON INDUSTRIAL SPECIALTIES, INC.**UNANIMOUS WRITTEN CONSENT
OF THE SOLE SHAREHOLDER
TO ACTION WITHOUT A MEETING**

Pursuant to Section 228 of the General Corporation Law of the State of Delaware, the undersigned, being the sole shareholder of Crompton Industrial Specialties, Inc., does hereby consent to, approve and adopt the following resolutions:

RESOLVED, that the Certificate of Incorporation of Crompton Industrial Specialties, Inc. be amended as of July 1, 2002 by changing the First Article thereof so that, as amended, said Article shall read as follows:

"The Name of the Corporation is Akzo Nobel Industrial Specialties Inc."

AKZO NOBEL SURFACE CHEMISTRY LLC

By: Patrick J. O'Brien
Patrick J. O'Brien
Secretary

Dated: As of July 1, 2002

Venez/resolut/cromp2.doc

SA4990

Receipt of the following is hereby acknowledged
by the Asst. Commissioner for **Patents:**

1. Recordation Form Cover Sheet (2pgs) in
Duplicate
2. Change of Name Document!

Pat. Appns.: 09/363489; 09342449, et. Al
Pat Nos.: 4429465; 5049311, et al
Owner: Akzo Nobel Industrial Specialties Inc.

Mailing Date: December 23, 2002

SA4990

Receipt of the following is hereby acknowledged
by the Asst. Commissioner for **Patents:**

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Pat. Appns.: 09/363489; 09342449, et. Al
Pat Nos.: 4429465; 5049311, et al
Owner: Akzo Nobel Industrial Specialties Inc.

Mailing Date: December 23, 2002

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